



Center Joint Unified School District

**8408 Watt Avenue
Antelope, CA 95843**

Sierra Vista Elementary School Project

Request for Qualifications

To Provide

Progressive Design-Build

Construction Services

February 23, 2026



Table of Contents

- I. Introduction**
- II. Project Description**
- III. Scope of Design Builders Work**
- IV. Critical Dates**
- V. Additional Information**
- VI. Contract Parameters**
- VII. Selection Process**

Exhibit A – Site Location Plan

Exhibit B – Prequalification Questionnaire

Exhibit C – Conflict of Interest Checklist



Center Joint Unified School District

I. INTRODUCTION

Center Joint Unified School District (District) invites interested Design-Build Entities to submit a Statement of Qualifications (“SOQ”) for the purpose of pre-qualifying proposers for design and construction of the new Sierra Vista Elementary School (Project) in Roseville, California. The District intends to use the Progressive or Collaborative style of design-build.

This Request for Qualifications (“RFQ”) is the first of a two-step process to select a Design-Build Entity for the Project. First, all interested Design-Build Entities (“Respondents”) must submit an SOQ on the form and in the format provided by the District. After the SOQs have been evaluated, the District will select/short-list up to five of the highest rated Respondents to receive a Request for Proposals (“RFP”). Second, the District will evaluate the Proposals submitted in response to the RFP to identify the successful Design-Build Entity to whom the design-build contract for the Project will be awarded based on a determination of which Proposal provides the Best Value to the District for the Project. **Only Respondents that are short-listed, including but not limited to the requirements for use of a skilled and trained workforce pursuant to Public Contract Code sections 2600 to 2602, will be eligible to submit a proposal in response to the RFP.** A more detailed description of the selection process is set forth in Section VII below.

The District reserves the right to find any SOQ that is incomplete or otherwise fails to respond to all requirements of this RFQ non-responsive, and to give it no further consideration. The District also reserves the right to request clarification and/or additional information from any Respondent.

Except as provided, communication with the District or any Center Joint Unified School District’s Board member or employee, with regard to the substance of the RFQ or any SOQ is prohibited.

Neither this RFQ nor the identification of qualified Respondents for any purpose creates any obligation whatsoever, either express or implied, for the District to award any contract. Neither this RFQ nor the identification of qualified Respondents pursuant hereto will apply to, or operate to deem a Respondent qualified for any other District project, contract or other purpose.

II. PROJECT DESCRIPTION

A new Elementary School for 800 students is required for Center Joint Unified School District . The District desires to use the Design-Build approach for this Project. The Goals of the Project are (1) to achieve a design which can be quickly approved by DSA to allow early application to the State Allocation Board and Office of Public School Construction for State Facility Plan funding and (2) to achieve high quality but economical facilities. The District’s Educational Specifications anticipate flexible learning spaces to support student education.

The school will be built on a new 12 acre site served by existing streets on two sides. The site is located at the corner of Vista Grande Blvd. and Silver Spruce Drive, and the parcel number is APN 499-010-095-000. The school will include TK to 6th grade classrooms and specialty learning spaces, a multi-purpose building for indoor play and lunch service with a warming kitchen, and support spaces for administration, counseling, faculty break room, and PE equipment storage. The expected cost of design and construction is \$65,000,000.00 for the 800-student school. A map showing the location is attached as **Exhibit A**.

Statements of Qualifications are desired from teams of General Contractors, Architects of Record and Subconsultants comprising, forming, contracting with or otherwise participating in a Design-Build Entity in relation to the Project necessary to design the site including Civil Engineer and Landscape Architect. Although expeditious completion of design and DSA approval is desired, The District does have a preference for single story or two story site-built facilities but is open to considering modular, or prefabricated construction options.



The Design-Build Entity is required to hold a California Contractor's License, Class B, which is current, valid and in good standing with the California Contractor's State License Board, and is required to be registered with the Department of Industrial Relations ("DIR") pursuant to Labor Code section 1725.5. The Architect and Principal Engineers are required to be licensed in the State of California and in good standing.

The firm of Capital Program Management has been retained to prepare the Request for Qualifications, Request for Proposals, and other documents on which selection is based. This firm may not participate in any Design-Build team seeking to be selected for the project. Products prepared for the District describing earlier District school designs, requirements, Criteria Documents and Educational Specifications will be given for information in the Request for Proposal. Teams must examine **Exhibit C**, Conflict of Interest Checklist and return with their SOQ the portion of **Exhibit C** identifying and explaining any possible conflict of interest.

III SCOPE OF DESIGN-BUILD ENTITY'S WORK

The Design-Build Entity will be responsible for all obligations, terms and conditions of the Agreements promulgated by the District, including, without limitation, design, permitting, agency review and approval including, without limitation, Division of the State Architect ["DSA"], CDE, and City of Roseville, construction and agency (including DSA) certification of the Project. Such services shall include, without limitation:

- a. Validating the District's space requirements, spatial and adjacency preferences, technical material and system requirements and preferences from the documents prepared for the last District design build school. Developing specific implementation of the security and technology requirements of the District educational specification. Verifying requirements of all applicable codes and ordinances of agencies having jurisdiction. Verifying existing utility provisions and topography and adjacent improvements. Advising needs for the preparation of the final geotechnical and geohazard report.
- b. Providing project management of Design-Build Entity's work activities from design to permitting and agency approvals through completion of construction and close-out, including DSA final certification. The District will pay all agency fees. The District will provide a Geotechnical and Geohazard report.
- c. Providing full design and engineering services necessary to complete the design and secure approval of all agencies, including, without limitation, DSA, for the Project. Design services generally required are evaluation of the site and of the design criteria documents and other Project-related information; preparation of a preliminary schedule; coordinating and obtaining all planning permits; preparing design development documents, attending design review meetings and resolving review comments to the satisfaction of the District; preparing construction documents; securing design approval of DSA and other agencies; and performing work necessary to prepare and submit an acceptable certified project.
- d. Coordinating with the County health department to obtain kitchen design and construction approval. Coordinating with all utility companies and agencies and obtaining applications and approvals for utility design and construction. The District will pay any utility permit and connection fees.
- e. Coordinating with the City for any requirements for design and construction including driveways, sidewalks, and required school-related pavement marking and signage.
- f. Providing all construction work necessary to complete the improvements. Construction services include execution of subcontracts; providing on-site support and logistics, including but not limited to temporary construction office trailers and equipment; supervising and directing the work; ensuring a safe project/site; coordinating the work with the different subcontractors in an efficient manner; updating the monthly construction schedule; coordinating equipment start-up and acceptance testing; training; preparing record construction documents; and close-out of the project.



- g. Planning and keeping budgets, estimates, costs and records in a way that maximizes the District's opportunity to gain reimbursement from the State Facility Program. Assisting the District in the preparation of forms and exhibits and meeting OPSC staff if necessary to explain the design and costs.

IV CRITICAL DATES

A. Submittal Due Dates:

Respondents shall submit SOQs in the format specified in Section VII below, which shall include the Questionnaire form attached hereto as **Exhibit B**. Note that the Questionnaire seeks information as to both construction and design services. Each person, firm or other entity comprising, forming, contracting with or otherwise participating in a Design-Build Entity must be identified in and provide responses to the Questionnaire as relevant to its participation in the Design-Build Entity. Each shall be submitted in a sealed envelope or box identifying the Respondent's name and contact information, and the RFQ number.

Completed SOQs are due no later than **March 27, 2026 at 2:00 p.m.** SOQs submitted after this time will not be accepted and will be returned unopened. The District will evaluate and score all SOQs and will identify and notify those Respondents that have been qualified to submit in response to the Request for Proposals.

Completed SOQs shall be delivered to:

Center Joint Unified School District – Attn: Richard Putnam
8408 Watt Avenue
Antelope, CA 95843

B. Tentative Selection Process Schedule:

The procurement is expected to progress according to the following timeline, but the District reserves the right to change key dates and actions as the need arises:

February 23, 2026	RFQ Issued
March 13, 2026 @ 2:00 PM	Deadline to submit RFQ questions via email
March 20, 2026	Questions and answers related to RFQ and answers released via Addenda
March 27, 2026	Deadline to submit RFQ
April 3, 2026	Shortlist and alternates published and RFP issued to shortlisted teams
April 13, 2026	Confidential meeting times available if desired
April 24, 2026	Deadline to submit RFP questions via email
May 1, 2026	Questions related to RFP and answers released via Addenda
May 8, 2026	Final Proposals due
May 18, 2026	Anticipated Interview date (if utilized)
May 28, 2026	Anticipated District notification to intended awardee
June 17, 2026	Board adoption of DB agreement
February 1, 2029	Anticipated Completion of Sierra Vista Elementary



V. ADDITIONAL INFORMATION

A. Communication Regarding the RFQ/P:

Except as provided, communication with the District regarding the substance of the RFQ, RFP or any Proposal outside of the Pre-proposal conference or interview or negotiation process discussed herein, including without limitation communication with Officials or any Board member of Center Joint Unified School District, is prohibited. Respondents may direct questions related to this RFQ to:

Michael Flores
Capital Program Management
michaelf@capitalpm.com
916 462-1196

All questions are to be emailed with the subject line of **Sierra Vista Elementary School**

The District shall not be obligated to respond to any question unless it is submitted in writing. The District shall be bound only by written responses to questions contained in an addendum to the RFQ. Oral responses, or email responses, shall not be binding on the District.

B. Investigation of Respondent's Qualifications:

The District may investigate the qualifications of, and/or information provided by, all firms under consideration to confirm any part of the information furnished by the Respondents or any Respondent's responsibility. Qualification of Respondents will be reviewed based on the submitted SOQ and any other information available to the District. The District reserves the right to request additional information at any time, which, in its sole opinion, is necessary to assure that the firm's competence, number of qualified employees, business organization and financial resources are adequate to perform the required services for the District.

C. Reservation of the District's Rights:

The District reserves the right to find any SOQ that is incomplete, not in the required format, or otherwise fails to respond to all requirements of this RFQ non-responsive, and to give it no further consideration. The District reserves the right to waive any immaterial deviation from the requirements of this RFQ. The District may request clarification and/or additional information from any Respondent.

The District reserves the right to short-list any Respondent based on its sole discretion and judgment of the qualifications and capabilities of the firm, applying the evaluation and selection criteria stated herein. The District also reserves the right to cancel the RFQ or RFP, modify any requirements contained within the RFQ or RFP, and request a revised response from all Respondents and/or to reject all SOQs. Neither this RFQ, nor the identification of qualified Respondents creates any obligation whatsoever, either express or implied, for the District to award any contract or for the Board to approve any proposed contract award.

D. Addenda:

In its discretion, the District may, at any time, issue one or more addenda to this RFQ revising or clarifying requirements of this RFQ or the Project, which may include extending the date that SOQs are due and/or responding to questions about this RFQ. Respondents are responsible for verifying that they have obtained all addenda. Each Respondent must, in its SOQ, acknowledge each addendum that has been issued. **Failure to acknowledge any addendum in the SOQ may render the Respondent ineligible to be short-listed**, unless the addendum does nothing other than extend the SOQ deadline. In no event shall the District be responsible for any failure of a Respondent to verify that it has received all addenda.



E. Cost of Responding to the RFQ and RFP:

Each Respondent is responsible for any and all costs that it incurs in connection with responding to this RFQ, including, without limitation, costs associated with preparation and submission of an SOQ and expenses associated with responding to further inquiries from the District. The District will not reimburse any Respondent for any such costs or expenses. The District does not anticipate paying a stipend to Proposers not offered a contract, due to the Progressive style of performing the contract and the minimal submissions required by the Proposal.

F. Privacy and Confidential Information:

The District will open and review responses privately to assure confidentiality and to avoid disclosure of the contents to competing Respondents prior to and during the review and evaluation process. However, upon notification of intent to award the design-build agreement, portions of the contents of the SOQs may become subject to release to the extent required by the Act.

VI. CONTRACT PARAMETERS

Proposers are advised that the work of this Project will require adherence to the Skilled and Trained Workforce requirements of Public Contract Code 2600 to 2602. Submission of a Statement of Qualification is acknowledgement of this requirements. The District will directly hire a Project Inspector, and Testing Laboratory.

VII. SELECTION PROCESS

The purpose of this RFQ is to enable the District to develop a short-list of Respondents who will be invited to submit Proposals in response to an RFP and who shall be evaluated for final selection so that the District may select the most qualified firm that provides the best value to the District and with whom the District intends to contract for completion of the design and construction of the Project. A review and selection committee composed of key District officials and consultants will review and evaluate all SOQs based on the scoring criteria identified below. The total scores will be used to rank the Respondents and to create the short list of up to five firms. Respondents not on the short list or selected as alternates will not be eligible for further consideration on this Project.

The District reserves the right, in its sole discretion, to cancel this RFQ, issue a new RFQ, reject any or all SOQs, seek or obtain data from any source that has the potential to improve the understanding and evaluation of responses to this RFQ, seek and receive clarifications to an SOQ, and waive any deficiencies, irregularities or technicalities in considering and evaluating the SOQs.

Respondents on the short-list will be invited to submit a Proposal in response to an RFP. If any of the Respondents on the short-list declines to submit a Proposal, then the alternate(s), in order of their ranking, may be invited to submit a Proposal. The District will evaluate the Proposals submitted in response to the RFP to identify the successful Design-Build Entity to whom the design-build contract for the Project will be awarded, if any, based on a determination of which Proposal provides the best value to the District for the Project as set forth in the RFP.

In selecting the Design-Build Entity from the final proposers, the District reserves the right in its sole discretion to interview proposers..

Compliance with Education Code section 17250.25(b) is required for this Project. Respondents must submit a fully completed form attached as **Exhibit B** hereto and provide all required materials in the format specified. Respondents must submit information for 1) the General Contractor, 2) the Architect of Record, and 3) all named principal engineers, including the Civil Engineer. **Exhibit B must be signed under penalty of perjury by every member of Respondent (the design-build entity) or the Respondent will not be selected to submit a Proposal.**

Exhibit B shall be scored uniformly. Other questions are scored as specified below.



The District may, in its sole discretion, conduct interviews of references provided by Respondents on **Exhibit B** or elsewhere in the SOQ.

The SOQ should be clear, concise, complete, well organized and demonstrate both Respondent's qualifications and its ability to follow instructions.

Provide one (1) signed original SOQ (marked "original") and four (4) copies, each in a three ring binder, and two (2) pdf files in electronic form, each on a separate flash drive. In the event of any discrepancy between the hard copy and the pdf copies of the SOQ, the hard copy will control.

All Respondents shall follow the order and format specified below. Please tab each section of the SOQ to correspond to the numbers shown below under "Body of Submittal."

1. Submittal Cover

Include the RFQ's title and submittal due date, the name, address, e-mail address, fax number, and telephone number of Respondent, including each member of the Design-Build entity.

2. Table of Contents

Include complete and clear listings of heading and pages to allow easy reference to key information.

3. Body of Submittal and Points

For purposes of the following, "associates" shall mean an entity's officers, directors, qualifying individual(s) for a contractor, and owners of more than 10% of the company.

For purposes of the following, "DBE" shall mean the proposed Design-Build entity.

The following sections should be included in the order listed:

Cover Letter: A letter signed by an officer of Respondent or signed by another person with authority to act on behalf of and bind Respondent. Indicate contact person(s) for the project. Acknowledge all addenda in the cover letter. The letter shall clearly indicate that the individual signing for the Respondent has carefully read and understands the requirements of this RFQ, and that the Respondent commits to comply with all provisions in the RFQ. Describe the proposed DBE. At a minimum, the cover letter should include the following:

- a. Is the proposed DBE an integrated Design-Build company, joint venture, partnership, etc.?
- b. Indicate key firms that committed to perform the work, including the capability and capacity of each firm, company size, services provided, geographic location, number of employees and other information that would be considered important to adequately describe each firm.
- c. Provide an organizational chart, showing the proposed DBE team organizational structure with lines identifying participants who are responsible for major functions to be performed and their supporting relationships in managing, designing and constructing the Project.
- d. Describe the DBE's ability to self-perform construction work.
- e. Include any signatory requirements to Union participation.

5 page limit. 0 points



DBE Agreement: Provide a copy of the teaming agreement among Builder, Designer and any other persons, firms or entities comprising, forming, contracting with or otherwise participating in a Design-Build Entity for this project. Specific dollar amounts may be redacted, if desired. **10 points.**

Exhibit B: Completed **Exhibit B** form, with required attachments will be scored uniformly.

Experience: Contractor shall provide information about its six (6) most recently completed public works projects and its three largest completed private projects within the last three years. Names and references must be current and verifiable. Describe the projects, owner reference and contact information, including:

- Project Name and Location
- Owner Name and Contact Information
- Description of Project and Scope of Work Performed
- Total Value of Construction (Including Change Orders)
- Original Scheduled Completion Date
- Time Extensions Granted (Number of days)
- Actual Completion Date

and the experience gained from them of the team members in:

- A. designing and building new elementary schools
- B. design-build projects, including any progressive or collaborative design build projects
- C. assisting school districts in preparing for and obtaining the greatest amount of State facility program funds

15 page limit 50 points.

Joint References: Describe and give Owner references and current contact information for design-build projects the team members have completed. **3 page limit. 15 points.**

Individual Member References: Give three Owner references including current contact information who will attest to the team members' ability to win and keep the trust of the Owner team on projects and to demonstrate excellence in being a great partner and leader of designers, builders, and trade partners. Describe the project and experience that demonstrates the ability and excellence. **4 page limit 25 points.**

Conflict of Interest: Review the Conflict of Interest Checklist **Exhibit C** and return with the SOQ the Form signed to indicate and explain potential conflicts, if any. **0 points.**

TOTAL Maximum Points: 100 Points

END OF RFQ

EXHIBIT B

CONTACT INFORMATION

Firm Name: _____
(applicant as or on behalf of Design-Build Entity)

Contact Person: _____

Address: _____

Phone: _____ Fax: _____

If Firm is a sole proprietor or partnership:

Owner(s) of Company _____

This prequalification is for a design-build entity selection pursuant to Education Code §§ 17250.10-17250.50 and will include questions related to both design and construction services qualifications. Hereinafter, the term “Contractor” and “Firm” shall mean the Design-Build Entity or appropriate constituent entity(ies), whether a licensed construction contractor or a licensed or registered design professional. Please clearly identify the constituent entity(ies) in relation to each question.

Contractor’s State License Board License Number(s):

Architect License Number(s) / Professional Engineer Registration Number(s):

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 8 is “no.”¹

Contractor will be immediately disqualified if the answer to any of questions 9 through 14 is “yes.”² If the answer to question 13 is “yes,” and if debarment would be the sole reason for denial of pre-qualification, any pre-qualification issued will exclude the debarment period.

1. Contractor possesses a valid and current California Contractor’s license for the project or projects for which it intends to submit a proposal.
 Yes No
2. Contractor possesses a valid and current Architect’s license or Professional Engineer’s registration for the project or projects for which it intends to submit a proposal.
 Yes No
3. Contractor has a general liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.
 Yes No
4. Contractor has a professional liability / errors and omissions insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.
 Yes No
5. Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700 et. seq.
 Yes No Contractor is exempt from this requirement, because it has no employees
6. Have you attached your latest copy of a reviewed or audited financial statement (or statements if multiple affiliated entities) with accompanying notes and supplemental information.³
 Yes No

NOTE: A financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

¹ A “no” answer to Question 4 will not be disqualifying if the contractor is exempt from complying with Question 4, for reasons explained in footnote 7.

² A contractor disqualified solely because of a “Yes” answer given to question 6, 7, or 9 may appeal the disqualification and provide an explanation of the relevant circumstances during the appeal procedure.

³ Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a small business pursuant to Government Code section 14837(d)(1), if the proposal is “no more than 25 per cent of the qualifying amount provided in section 14837(d)(1).” As of January 1, 2001, the qualifying amount is \$10 million, and 25 per cent of that amount, therefore, is \$2.5 million.

7. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states: (a) that your current bonding capacity is sufficient for the project for which you seek pre-qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity?⁴
- Yes No

NOTE: Notarized statement must be from the surety company, not an agent or broker.

8. Is your Firm currently registered in good standing as a public works contractor pursuant to Labor Code sections 1725.5 and 1771.1?
- Yes No

9. Are you now, or have you within the last three (3) years, been in a public works contract dispute, whether formal or informal, with the District that was not finally adjudicated or subject to an arbitration decision in your favor?
- Yes No

10. Has your contractor's license been revoked at any time in the last five years?
- Yes No

11. Has your design professional license(s) been revoked at any time in the last five years?
- Yes No

12. Has a surety Firm completed a contract on your behalf, or paid for completion because your Firm was default terminated by the project owner within the last five (5) years?
- Yes No

13. At the time of submitting this pre-qualification form, is your Firm ineligible to proposal on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
- Yes No

If the answer is "Yes," state the beginning and ending dates of the period of debarment:

14. At any time during the last (5) five years, has your Firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the proposal or performance of a government contract?
- Yes No

⁴ An additional notarized statement from the surety may be requested by *Public Entity* at the time of submission of a proposal, if this pre-qualification package is submitted more than 60 days prior to submission of the proposal.

PART II. ORGANIZATION, HISTORY, ORGANIZATIONAL PERFORMANCE, COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Design-Build Entities That Are Corporations:

- 1a. Date incorporated : _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation’s stock.

Name	Position	Years with Co.	% Ownership	Social Security #

- 1d. Identify every construction and/or design Firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

Person’s Name	Firm	Dates of Person’s Participation with Firm

For Design-Build Entities That Are Partnerships:

- 1a. Date of formation: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each partner who owns 10 per cent or more of the Firm.

Name	Position	Years with Co.	% Ownership	Social Security #

- 1d. Identify every construction and/or design Firm that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.
NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

For Design-Build Entities That Are Sole Proprietorships:

- 1a. Date of commencement of business. _____
 1b. Social security number of company owner. _____
 1c. Identify every construction and/or design Firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.
NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

Person’s Name	Construction Company	Dates of Person’s Participation with Company

For Design-Build Entities That Intend to Make a Proposal as Part of a Joint Venture:

- 1a. Date of commencement of joint venture. _____
 1b. Provide all of the following information for each Firm that is a member of the joint venture that expects to proposal on one or more projects:

Name of Firm	% Ownership of Joint Venture

B. History of the Business and Organizational Performance

1. Has there been any change in ownership of the Firm at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question. District may, at its sole discretion, require a questionnaire response for any prior information.

Yes No

If "yes," explain on a separate signed page.

2. Is the Firm a subsidiary, parent, holding company or affiliate of another construction and/or design?

NOTE: Include information about other Firms if one Firm owns 50 per cent or more of another, or if an owner, partner, or officer of your Firm holds a similar position in another Firm.

Yes No

If "yes," explain on a separate signed page.

3. Are any corporate officers, partners or owners connected to any other construction and/or designs.

NOTE: Include information about other Firms if an owner, partner, or officer of your Firm holds a similar position in another Firm.

Yes No

If "yes," explain on a separate signed page.

4. State your Firm's gross revenues for each of the last three years:

5. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ years

6. Is your Firm currently the debtor in a bankruptcy case?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

7. Was your Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 6, above)

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

Contractor Licenses

8. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your Firm:

9. If any of your Firm’s construction contractor license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

10. Has your Firm changed names or construction contractor license number in the past five years?
 Yes No
If “yes,” explain on a separate signed page, including the reason for the change.

11. Has any owner, partner or (for corporations:) officer of your Firm operated a construction company under any other name in the last five years?
 Yes No
If “yes,” explain on a separate signed page, including the reason for the change.

12. Has any CSLB license held by your Firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?
 Yes No
If “yes,” please explain on a separate signed sheet.

Construction Disputes

13. At any time in the last five years has your Firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?
 Yes No
If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

14. In the last five years has your Firm, or any Firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from contracting on, or completing, any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction and/or design in which an owner, partner or officer of your Firm held a similar position, and which is listed in response to question 1c or 1d on this form.

Yes No

If "yes," explain on a separate signed page. State whether the Firm involved was the Firm applying for pre-qualification here or another Firm. Identify by name of the company, the name of the person within your Firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

15. In the last five years has your Firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible contractor

Yes No

If "yes," explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

* * * * *

NOTE: The following two questions refer only to disputes between your Firm and the owner of a project. You need not include information about disputes between your Firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

16. In the past five years has any claim **against** your Firm concerning your Firm's work on a construction project been **filed in court or arbitration?**

Yes No

If "yes," on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

17. In the past five years has your Firm made any claim against a project owner concerning work on a project or payment for a contract and **filed that claim in court or arbitration?**

Yes No

If "yes," on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

* * * * *

18. At any time during the past five years, has any surety company made any payments on your Firm's behalf as a result of a , to satisfy any claims made against a performance or payment bond issued on your Firm's behalf, in connection with a construction project, either public or private?
- Yes No

If "yes," explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

19. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your Firm?
- Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

Design Professional Licenses

20. List all California architectural license and/or registered professional engineer (collectively, "design professional") numbers and expiration dates held by persons within your Firm:

21. Has any principal or officer of your Firm operated, been employed by or exercised any independent or supervisory authority on behalf of a design company under any other name in the last five years?
- Yes No
- If "yes," explain on a separate signed page, including the reason for the change.

Design Disputes

22. At any time in the last five years has your Firm paid damages after completion of a project related to any claims of design defects?
- Yes No

If yes, explain on a separate signed page, identifying all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

23. In the last five years has your Firm, or any Firm with which any of your company's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from contracting on, or completing, any government agency or public works project for any reason?

NOTE: "Associated with" refers to another construction and/or design in which an owner, partner or officer of your Firm held a similar position, and which is listed in response to question 1c or 1d on this form.

Yes No

If "yes," explain on a separate signed page. State whether the Firm involved was the Firm applying for pre-qualification here or another Firm. Identify by name of the company, the name of the person within your Firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

24. In the last five years has any insurance carrier, for any form of insurance, refused to renew the professional errors and omissions insurance policy for your Firm?

Yes No

If "yes," explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

Criminal Matters and Related Civil Suits

25. Has your Firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

26. Has your Firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If "yes," explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

27. Has your Firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

If "yes," identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

Bonding

28. Bonding capacity: Provide documentation from your surety identifying the following:

Name of bonding company/surety: _____

Name of surety agent, address and telephone number:

29. If your Firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your Firm worked at any time during the last three years, state the percentage that your Firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

30. List all other sureties (name and full address) that have written bonds for your Firm during the last five years, including the dates during which each wrote the bonds:

31. During the last five years, has your Firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your Firm had no surety bond in place during a public construction project when one was required?

Yes No

If yes, provide details on a separate signed sheet indicating the date when your Firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

C. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

32. Has CAL OSHA cited and assessed penalties against your Firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If "yes," attached a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

33. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your Firm in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

34. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your Firm or the owner of a project on which your Firm was the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," attach a separate signed page describing each citation.

35. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

36. List your Firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

NOTE: An Experience Modification Rate is issued to your Firm annually by your workers' compensation insurance carrier.

Current year: _____

Previous year: _____

Year prior to previous year: _____

If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

37. Within the last five years has there ever been a period when your Firm had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes," please explain the reason for the absence of workers' compensation insurance on a separate signed page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your Firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your Firm has been in the construction business.)

Prevailing Wage and Apprenticeship Compliance Record

38. Has there been more than one occasion during the last five years in which your Firm was required to pay either back wages or penalties for your own Firm's failure to comply with the **state's** prevailing wage laws?

NOTE: This question refers only to your own Firm's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes No

If "yes," attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

39. During the last five years, has there been more than one occasion in which your own Firm has been penalized or required to pay back wages for failure to comply with the **federal** Davis-Bacon prevailing wage requirements?

Yes No

If "yes," attach a separate signed page or pages describing the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

40. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by *[Public Entity]*.

41. If your Firm operates its own State-approved apprenticeship program:

- (a) Identify the craft or crafts in which your Firm provided apprenticeship training in the past year.
- (b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
- (c) State the number of individuals who were employed by your Firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your Firm.

42. At any time during the last five years, has your Firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

NOTE: You may omit reference to any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and your Firm, as general contractor on a project, had no knowledge of the subcontractor's violation at the time they occurred.

Yes No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

* * * * *

I, the undersigned, certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated:

(Name)

EXHIBIT C

CONFLICT OF INTEREST CHECKLIST

PURPOSE

Education Code section 17250.20 requires school districts to establish an organizational conflict-of-interest policy that applies to design-build projects procured pursuant to Education Code section 17250.10 et seq. Organizational conflicts of interest can occur in the design-build procurement process when a person or entity that performs services for a school district relating to the solicitation of a design-build project seeks to submit a proposal to the district as a design-build entity or join a design-build team, thereby making that person or entity unable or potentially unable to render impartial assistance or advice to the district, impairing the objectivity of that person or entity in performing the contract work, or giving that person or entity an unfair competitive advantage.

POLICY

A. Defined Terms.

1. "Design-Build" means a project delivery process in which both the design and construction of a project are procured from a single entity.
2. "District" shall refer to the Center Joint Unified School District.
3. "Design-Build Entity" means a corporation, limited liability company, partnership, joint venture, or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a Design-Build contract.
4. "Design-Build Team" means a Design-Build Entity and the individuals and other entities identified by the Design-Build Entity as members of its team. Members of a Design-Build Team include the general contractor and, if utilized in the design of the project, may include electrical, mechanical, and plumbing contractors.
5. "Proposer" means any consultant or contractor that seeks to submit a proposal to the District as a Design-Build Entity or to join a Design-Build Team.

B. Organizational Conflicts of Interest.

1. A Proposer may not have any organizational conflicts of interest.

2. "Organizational conflicts of interest" are circumstances arising out of a consultant's or contractor's existing or past activities, business or financial interests, familial relationships, contractual relationships, and/or organizational structure (e.g., parent entities and their subsidiaries and affiliates) that results in: (i) the impairment or potential impairment of a consultant's or contractor's ability to render impartial assistance or advice to the district or of its objectivity in performing work for the district; (ii) an unfair competitive advantage for any bidder or Proposer with respect to a district procurement; or (iii) a perception or appearance of impropriety with respect to any of the district's procurements or contracts or a perception or appearance of unfair competitive advantage with respect to a procurement by the district (regardless of whether any such perception is accurate).

3. An organizational conflict of interest exists in the following instances:
 - a. A Proposer is the district's general engineering or architectural consultant to the Design-Build project, except that a subconsultant to the general engineering or architectural consultant that has not yet performed work on the contract to provide services for the design-build project may participate as a proposer or join a Design-Build Team if it terminates the agreement to provide work and provides no work for the district's general engineering or architectural consultant on the Design-Build project;

 - b. A Proposer has in previous work for the District, been given access to "data" relevant to this procurement or this project that is classified as "private" or "nonpublic" under the California Public Records Act (see Gov. Code 55 6250-6270), and such data potentially provides the Proposer with an unfair advantage in preparing a proposal for this project. Comment: this provision is intended to avoid a situation where a Proposer has been provided information that cannot be provided to other Proposers;

 - c. A Proposer has assisted or is assisting the district in the management of the Design-Build project, including the preparation of the request for proposals, evaluation criteria, or any other aspect of the procurement;

 - d. A Proposer has conducted preliminary design services for the Design-Build project, such as conceptual layouts, preliminary design, or preparation of bridging documents;

 - e. A proposer has a business arrangement with a District representative or immediate family member of such representative, including promised future employment of such person, or a subcontracting arrangement with such person, when such arrangement is contingent on the Proposer being awarded this contract. This item does not apply to pre-existing employment of current or former District representatives, or their immediate family members. Comment: this provision is not intended to supersede any statutes or policies applicable to its own employees accepting outside employment. This provision is intended to focus on identifying situations where promises of employment have been made contingent on the outcome of this particular procurement. It is intended to avoid a situation where a Proposer may have unfair access to "inside" information;

- f. A Proposer performed design work related to the Design-Build project for other stakeholders in the Design-Build project;
- g. A Proposer is providing services to another governmental or private entity and the Proposer knows or has reason to believe, that the entity's interests are, or may be, adverse to the Center Joint Unified School District's ("District") interest with respect to the specific project covered by this contract. Comment: the mere existence of a business relationship with another entity would not ordinarily need to be disclosed. Rather, this focuses on the nature of services commissioned by the other entity. For example, it would not be appropriate to propose on a District project if a local government has retained the Proposer for the purposes of persuading the District to stop or alter the project plans;
- h. A Proposer performed design work on a previous contract that specifically excludes it from participating as a proposer or joining a Design-Build Team for the Design-Build project;
- i. A Proposer is providing design services to a private entity, including, but not limited to, developers, whom the Proposer knows or has good reason to believe, own or are planning to purchase property affected by the project covered by this contract, when the value or potential uses of such property may be affected by the Proposer's performance of work pursuant to this contract. "Property affected by the project" includes property that is in, adjacent to, or in reasonable proximity to the current or potential right-of-way for a project. The value or potential uses of the private entity's property may be affected by the Proposer's work pursuant to the contract when such work involves providing recommendations for right-of-way acquisition, access control and the design or location of frontage roads and interchanges. Comment: this provision does not presume the Proposer knows or has a duty to inquire as to all of the business objectives of its clients. Rather, it seeks the disclosure of information regarding cases where the Proposer has a reason to believe that its performance of work under this contract may materially affect the value or viability of a project it is performing for the other entity;
- j. A Proposer is under contract with any other entity or stakeholder to perform oversight of the Design-Build project;
- k. A Proposer, or its principals, own real property in a location where there may be a positive or adverse impact on the value of such property based on the recommendations, designs or other deliverable required by this contract; or
- l. A Proposer has obtained advice from or discussed any aspect relating to the Design-Build project or procurement of the Design-Build project with, any person or entity with an organizational conflict of interest, including, but not limited to, the consultants of any entity that have provided technical support on the Design-Build project.

C. Proposer's Obligation

1. Proposers shall concurrent with submittal of its Statement of Qualifications provide the conflict of interest statement disclosing financial interests as required under Board Policy 3600, comprising a full written disclosure to the District's Purchasing Director of the facts and circumstances regarding an organizational conflict of interest or a potential organizational conflict-of-interest. The obligation to report shall be a continuing obligation that continues until such time as they are no longer a Proposer.
2. Proposers shall disclose all relevant facts relating to past, present or planned interests of the Proposer's Design-Build Team (including the Proposer, Proposer's proposed consultants and subconsultants and subcontractors and their respective directors and key personnel) that may result in, or could be viewed as, an organizational conflict-of-interest in connection with any Design- Build project procurement, including present or planned contractual or employment relationships with any current District employee.
3. Proposers shall disclose in the response documents to a Design-Build request for qualifications and request for proposals, all the work performed in relation to the particular proposed Design-Build project.
4. If a Proposer determines that an organizational conflict of interest or potential organizational conflict of interest exists, it must disclose the conflict or potential conflict of interest to the District. Such disclosure will not necessarily disqualify a Proposer from being awarded a contract. However, the Proposer shall propose measures to avoid, neutralize, and mitigate all potential or actual conflicts. The District, at its sole discretion, shall determine whether the proposed measures are sufficient to overcome the conflict or potential conflict and whether the Proposer may continue with the procurement process.
5. The District has the right to cancel or amend a resulting Design-Build project contract if the successful Proposer failed to disclose a conflict or potential conflict that it knew or should have known about, or if the Proposer provided information in its disclosure that is false or misleading.
6. For other conflicts or potential conflicts not mentioned specifically above, such as conflicts involving employees changing companies, mergers and acquisitions of firms, property ownership, business arrangements, and financial interests, a Proposer shall disclose and address any organizational conflicts of interest or potential organizational conflicts of interest when participating in or joining a Design- Build Team. The District will determine if a conflict of interest exists.

D. Obligation After Contract Award

The successful Proposer to whom a contract is awarded (“Contractor”) shall, within five (5) calendar days of contract Award provide the Statement of Economic Interests as required under Board Policy 3600. Thereafter, Contractor shall have an ongoing obligation to monitor and disclose its conflicts or potential organizational conflicts of interest through filing an updated Statement of Economic Interests in the event of any non-trivial change in the accuracy of the Statement of Economic Interests on file. The District has a right to ongoing enforcement of this Policy. If an organization conflict of interest is discovered after contract award, the Contractor must make an immediate and full written disclosure to the District that includes description of the action that Contractor has taken or proposes to take to avoid or mitigate such conflicts. If an organization conflict of interest is determined to exist and the Contractor was aware of an organization conflict of interest prior to award of the contract and did not disclose the conflict, the District may terminate the contract.

If a new organizational conflict of interest arises after contract award, and Contractor’s proposed measures to avoid or mitigate the conflict are determined by the District to be inadequate to protect the District, the District may terminate the contract. If the contract is terminated, the District assumes no obligation, responsibility and liability to reimburse all, or part of the costs incurred or alleged to have been incurred by the Contractor and is entitled to pursue any available legal remedies, including, but not limited to, any circumstances that would violate California Government Code section 1090, et seq.

DISCLOSURE OF POTENTIAL CONFLICT OF INTEREST FORM

Having had the opportunity to review the Conflict Of Interest Checklist, the Proposer hereby indicates that it has, to the best of its knowledge and belief:

_____ Determined that no potential organizational conflict of interest exists

_____ Determined a potential organizational conflict of interest as follows:

(Attach additional sheets as necessary)

Describe nature of the potential conflict(s): _____
